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Request for Proposals for Performance Data Provider

To participate in the

Residential and Commercial Solar Programs

Program Opportunity # **CGB-PDP2023**
May 11th, 2023

APPLICATIONS ACCEPTED FROM MAY 11TH, 2023 THROUGH MAY 30, 2023

Connecticut Green Bank (Green Bank)
Incentive Programs
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This document is available on the following Green Bank Web page:

<https://www.ctgreenbank.com/about-us/rfps/>

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Executive Summary

The Connecticut Green Bank (Green Bank) was established by Connecticut's General Assembly on July 1, 2011. The Green Bank's vision is a planet protected by the love of humanity. The Green Bank's mission is to confront climate change by increasing and accelerating investment into Connecticut's green economy to create more resilient, healthier, and equitable communities.

To achieve its vision and mission, the Green Bank has established the following three goals:

1. To leverage limited public resources to scale-up and mobilize private capital investment in the green economy of Connecticut.
2. To strengthen Connecticut's communities, especially vulnerable communities, by making the benefits of the green economy inclusive and accessible to all individuals, families, and businesses.
3. To pursue investment strategies that advance market transformation in green investing while supporting the organization's pursuit of financial sustainability.

The vision, mission, and goals support the implementation of Connecticut's clean energy policies be they statutorily required (e.g., CGS 16-245ff), planning (e.g., Comprehensive Energy Strategy), or regulatory in nature. For more information about the Connecticut Green Bank, please visit www.ctgreenbank.com.

The Residential Solar Investment Program (RSIP) was legislatively enabled through Section 106 of Public Act (PA) 11-80¹ and most recently updated by PA 15-194², PA 16-212³ and PA 19-35⁴, amending Connecticut General Statute (CGS) at Section 16-245ff⁵. PA 19-35 updated CGS Section 16-245ff to require that not more than 350 MW (updated from 300 MW) of new residential solar PV be deployed in Connecticut on or before December 31, 2022.

For more information about RSIP, see the RSIP RFQ/Program Guidelines⁶ and RSIP Terms and Conditions⁷ documents.

Green Bank also provides financing for residential and commercial solar projects through our Smart-E and Commercial Solar Lease facilities.

¹ PA 11-80: <https://www.cga.ct.gov/2011/ACT/Pa/pdf/2011PA-00080-R00SB-01243-PA.pdf>, "An Act Concerning the Establishment of the Department of Energy and Environmental Protection and Planning for Connecticut's Energy Future."

² PA 15-194: <https://www.cga.ct.gov/2015/act/pa/pdf/2015PA-00194-R00HB-06838-PA.pdf>, "An Act Concerning the Encouragement of Local Economic Development and Access to Residential Renewable Energy."

³ PA 16-212: <https://www.cga.ct.gov/2016/act/pa/pdf/2016PA-00212-R00SB-00366-PA.pdf>, "An Act Concerning Administration of the Connecticut Green Bank, the Priority of the Benefit Assessments Lien under the Green Bank's Commercial Sustainable Energy Program and the Green Bank's Solar Home Renewable Energy Credit Program."

⁴ PA 19-35: <https://www.cga.ct.gov/2019/ACT/pa/pdf/2019PA-00035-R00HB-05002-PA.pdf>, "An Act Concerning a Green Economy and Environmental Protection."

⁵ https://www.cga.ct.gov/current/pub/chap_283.htm#sec_16-245ff (Residential solar investment program)

⁶ https://ctgreenbank.com/wp-content/uploads/2020/10/Contractor-RFQ_101920.pdf

⁷ https://ctgreenbank.com/wp-content/uploads/2020/10/RSIP_RSIP TermsConditions_101920_Fillable.pdf

The Green Bank's Performance Data Provider (PDP) Request for Proposals (RFP) is the only vehicle through which the Green Bank will identify a PDP to provide solar PV monitoring services. The Green Bank will screen and evaluate all of the RFP Response Forms (RFP Responses) and will select the PDP Applicant that best meets the requirement of this RFP.

Section 1 – Introduction

1.1. Objectives of this RFP

All Eversource Energy (Eversource) and United Illuminating Company (UI) ratepayers (Home and Commercial Owners) participating in the RSIP (RSIP-E) or CT Solar Lease Commercial programs are required to install revenue-grade monitoring devices on their solar PV systems to determine gross energy production. For third party owned systems, production data (Data) from the monitoring systems will be used to calculate a Performance-Based Incentive (PBI) payment. For both customer and third party owned projects, Data will be used to create renewable energy certificates (RECs) and other energy and environmental attributes, all retained by the Green Bank through the terms and conditions⁸ of participation in the program. This Data will be read and communicated to the Green Bank by the PDP via a web-based platform.

The PDP's primary responsibilities are as follows:

- Create a web-based platform for the Green Bank to access and extract Data
- Allow retrieval of real-time Data on the Green Bank's web-based platform on a consistent and reliable basis, per Green Bank requirements
- Make all historical Data available on the Green Bank's web-based platform
- Allow for integration of external data pertaining to system identification or system production
- Read and retrieve Data from revenue grade meter devices
- Manage Data reading or retrieval schedule for revenue grade meter devices
- Platform Data analytics and analytical tools (i.e. irradiance, field grouping, dashboards) are considered valuable options but are not required
- Provide a means for erroneous data/data outliers to be identified and corrected
- PDP shall provide a mutually agreeable format for data exchange API or automated FTP file is preferred
- Store Data in accordance with State of Connecticut records retention laws
- Provide disaster recovery and Data backup services to the Green Bank
- Provide technical assistance to the Green Bank as well as hold regularly scheduled meetings with Green Bank
- Provide a primary point of contact to address Green Bank requests, during normal business hours
- Troubleshoot and resolve communications issues
- Provide customer support on the monitoring platform

⁸ For RSIP, the terms and conditions are provided here: https://ctgreenbank.com/wp-content/uploads/2020/10/RSIP_RSIP_E_TermsConditions_101920_Fillable.pdf

- Communicate product upgrades and/or changes to the Green Bank
- Provide alerts to the Green Bank and system owner through the web-based platform to indicate a non-functioning or poorly functioning system
- Comply with all State and Federal laws
- Provide means to accept and display expected monthly generation in bulk format
- Provide means to export expected and actual generation via bulk data export

Under this RFP, the Green Bank intends to select one or more PDP that uses commercially available monitoring technologies and has been actively participating in a residential PV market at Green Bank discretion. If a PDP Applicant does not meet the minimum criteria or does not include all required documentation listed in Section 2 of this RFP, additional information may be requested by the Green Bank. **The RFP response will not be reviewed by the Green Bank staff until all required documents have been submitted to the Green Bank's satisfaction.**

The RFP Application is available on the following Green Bank web page:

<http://www.ctgreenbank.com/about-us/open-rfps>

Please note, as the Green Bank refines the PDP approval process, PDP may be required to become compliant with a specific computer programming language or protocol.

1.2. Eligible Applicants

Applicants must be established companies and must demonstrate to the Green Bank's satisfaction that the Data monitoring product is currently available, has proven to be a reliable residential PV monitoring platform and that the PDP Applicant can provide the services outlined in this RFP. All Applicants must provide Data to the Green Bank through a web-based platform that collects monitoring Data from current and future Green Bank approved monitoring systems. The Green Bank's current list of approved monitoring systems (revenue-grade meters) are listed below:

- Locus Energy - LGate 120 and R-LGate-CatM1, LGate 320 and LGate 360
- Enphase Energy - Envoy S Revenue-grade Meter and IQ Envoy
- Solar Data Systems - Solar-Log 350, 360 and 370 & GE I-210+
- SolarEdge – RWND-3D-240-MB with 100A CT Cellular meter
- SunPower PVS5x and PVS6 Revenue-Grade Meters
- All other meters that have been or are reporting to the current PDP platform or other meters as needed

Applicants including more than one company submitting a joint application will execute one contract with the Green Bank, with one entity being fully responsible. Well-qualified applicants will be considered for a portion of the overall scope.

1.3. Data Sources – Revenue Grade Meters

Below is a breakdown of current project site counts by revenue grade meter OEM which is subject to changes.

Monitoring Data Source	Count
Enphase	7725
SunPower	2599
Itron	1322
Locus	15520
SolarEdge	14139
SolarLog	457
Tesla	4843
Other	1339

Section 2 – Application Process

2.1. RFP Schedule

RFP Responses will be accepted through May 30, 2023 at 3PM EST. The PDP will be reviewed annually to ensure continued compliance with this RFP’s standards and procedures and is expected to provide updated documentation as requested by the Green Bank.

Key Dates

Project Milestone	Estimated Date
RFP Released	May 11, 2023
Clarification Questions Due	May 18, 2023, 3:00 PM EST
Q&A Webinar – If Needed	May 24th, 2023
RFP Responses Due	May 30, 2023; 3:00 PM EST

2.2. Required Documentation

All Applicants must provide the following documentation to be considered for Green Bank approval:

- 1. Complete set of RFP Response Forms** – Please see Section 3, RFP Response Format, for detailed information.

2. **Financial Information** - Provide verifiable evidence of financial solvency and health. Include two (2) years of **audited** financial statements.
3. **Web-based Platform Information** –
 - Sample view of a live web page(s) visible to the Homeowner
 - Same view of a live web page(s) visible to the contractor
 - Sample view of the web pages(s) that would be visible to the Green Bank
 - Detailed explanation of the information and reports that can be created using the web-based platform, including, but not limited to:
 - System production and other performance data
 - Irradiance and other reference data
 - Geographic location of system, including town and county
 - Name of installing contractor and if applicable, system owner
 - Designation of PV system ownership, third-party versus purchase
 - PV system size, etc.
4. **Web-based Platform Costs** –
 - Itemized license, subscription, unit and platform set-up costs to the Green Bank for the web-based platform with contractor (installer) and Homeowner access
 - Cost to migrate current Green Bank fleet (nearly 37,000+ and growing systems) including historic monitoring data on to platform, if necessary.
 - Volume discounts offered, if available
5. **General Liability Insurance Information** - All Applicants must carry at least \$1 million in general liability insurance in order to participate in the RSIP.

2.3. RFP Response Process

The RFP Response process is as follows:

- A complete RFP response will be submitted by the PDP to the Green Bank at smallsolar@ctgreenbank.com
- Green Bank will send an email notifying the Applicants that their application has been received.
- The Green Bank will review the RFP Response and may request missing documentation if applicable.
- Green Bank may contact the Applicant with questions and request a live demonstration of the platform and features.
- Green Bank may send an email notifying Applicants if their application has been selected or not.

2.4. Evaluation Process

The information provided in each RFP Response will be evaluated first for completeness and consistency with the documentation requirements outlined above. Each RFP Response will then be evaluated based on the following factors:

- Financial condition of the PDP
- Product's specifications
 - Capability of the PDP to meet the required System and Data Requirements and Deliverables
 - Ease of use
 - Ease of implementation
 - Level of customer support provided to the Green Bank
- Web-based platform design and accessibility
- Subscription and product cost comparison to other respondents
- Reputation of the PDP Applicant as evidenced by a combination of customer references, peer review / recognition, or other objective evidence

RFP Responses can be rejected at the sole discretion of the Green Bank.

Section 3 - RFP Response Format

This section outlines the content and format requirements for all RFP Responses. The Green Bank may contact the PDP to clarify or obtain any information inadvertently omitted from an RFP Response. All RFP Responses must address the requirements outlined in the following sections of this RFP.

3.1. Application Delivery

RFP Responses will only be accepted in electronic format and must be submitted to smallsolar@ctgreenbank.com. RFP Responses must be submitted as complete packages, not piecemeal. Confidential material must be in a separate PDF, labeled "CONFIDENTIAL" in the title of the document, and clearly marked "CONFIDENTIAL" on each page as it prints in accordance with Section 5 of this RFP.

3.2. Application Format

All Applications must conform to the following format guidelines:

- 12-point font, 1.5-line spacing, standard 8.5 X 11" paper.
- No handwritten applications.
- PDF format only.

3.3. Application Content Requirements

An RFP Response will include a complete set of forms and all additional documentation as required.

3.4. Application Instructions

All RFP Responses must include a complete set of forms for each applicant. Using the forms will ensure consistency in PDP RFP Response submission, interpretation, and evaluation. Information requested on each of the forms must be completed in detail and cannot refer to other sections of the RFP Response, even if the information is redundant.

This RFP and all required forms are posted on the following Green Bank Web page:

<http://www.ctgreenbank.com/about-us/open-rfps>

3.5. RFP Response Form Details

Details regarding the RFP Response Form are provided below:

3.5.1. Form A – RFP Response Certification

This section requests general information about the Applicant, including contact information. A duly authorized officer of the PDP must complete and sign the RFP Response Certification. This will certify the information in the RFP Response is accurate and the Applicant agrees to be bound by the terms and conditions contained in this RFP.

3.5.2. Form B – Applicant Information

- Description of the Applicant's history including:
 - Current services offered
 - Number of employees
 - Location of headquarters and field offices
 - Description of Applicant's experience in the clean energy industry
- Description of the Applicant's marketing strategy
- Number and size of monitored PV installations, noting grid and off-grid installations and geographical service region
- Information regarding key team members responsible for the development, coordination and/or maintenance of the proposed web-based platform, and key members currently responsible for other services listed in the Applicant's proposal such as customer service

3.5.3. Form C – Product-Specific Data

This section requests information about the product being offered by the Applicant, all costs of the product, product specifications information and support for the product.

Web-based Platform Information

- Sample view of a live web page(s) visible to the Homeowner
- Same view of a live web page(s) visible to the contractor
- Sample view of the web pages(s) that would be visible to the Green Bank

- Detailed explanation of the information and reports that can be created using the web-based platform, including, but not limited to:
 - System production and other performance data
 - Irradiance and other reference data
 - Geographic location of system, including town and county
 - Name of installing contractor and if applicable, system owner
 - Designation of PV system ownership, third-party versus purchase
 - PV system size, etc.

Product Information

- Subscription cost to Green Bank for web-based platform (itemized)
- Subscription cost to Green Bank per Homeowner for web-based platform access
- Subscription cost to Green Bank per contractor for web-based platform
- Copy of marketing materials, if available
- Location of tutorials or product manuals
- Description of customer support available to Green Bank
- Description of the customer dispute resolution process between Green Bank and the PDP
- Description of Data recovery process

Section 4 – Data Requirements and Deliverables

4.1. Data Format

All web-based platforms must include a dashboard display that shows real-time production activity. The dashboard must also include a chart illustrating Data broken down by day, month, and year, as applicable. Each web-based platform must demonstrate the ability to integrate data from Green Bank approved monitoring system on Applicant's web-based platform.

The selected PDP's web-based platforms must include the ability to download the following Data into an Excel-based report:

- A minimum of fifteen (15) minute interval Data
- Kilowatt (kW) demand Data
- Daily kWh Data
- Monthly and yearly cumulative kWh Data
- Gross building demand kW
- Gross building usage kWh
- Net building demand kW
- Net building usage kWh
- Geographic location of system, including town and county
- Name of installing contractor
- Designation of system ownership, third-party versus purchase
- System size

- Estimated production data such as integrated from an external source (for comparison with actual production data)
- Weather Data
 - Ambient temperature
 - Module temperature
 - Irradiance (kW/m²)
- Other data that can be collected from approved monitoring system such as kVAR, voltage, etc.

4.2. Data Reporting and Security

The PDP is responsible to ensure timely, consistent, and accurate reporting of Data. Data must be located in a secure facility, on a secure server and have firewall or equivalent protection. The Data must be transferred to the appropriate Green Bank web-based platform in real-time. The PDP must follow all applicable state and federal privacy and Data security laws.

4.3. Data Validation

The Green Bank reserves the right to conduct Data audits. The PDP will work with the Green Bank to resolve any discrepancies identified by the Green Bank, which may include testing and/or recalibrating the monitoring device(s). The Green Bank is not responsible for the costs associated with investigating and resolving any such discrepancies (i.e. testing, hardware replacement, installation labor).

4.4. Data Retention

Interval Data and cumulative monthly and yearly Data must be retained in accordance with appropriate Federal and State laws. Historical Data must remain available indefinitely on the appropriate Green Bank web-based platform. The PDP is also responsible for providing backup and disaster recovery services for 100% of the Data.

4.5. Technical and Customer Support

The PDP must provide a technical support number to the Green Bank for use during normal business hours, 8:00 a.m. to 5:00 p.m. Eastern Standard Time (EST), Monday through Friday, except holidays. Within those normal business hours, the PDP must respond to the Green Bank requests within two (2) business days with a status report and plan for correcting the issues. The PDP must also provide a customer support number to respond to Homeowner inquiries. The Green Bank will have the discretion to set mutually reasonable deadlines for the resolution of Data transfer problems and/or issues.

4.6. PDP Performance Exemptions

The PDP is responsible for consistently posting real-time Data on the Green Bank's web-based platform. At its sole discretion, the Green Bank may grant reasonable

allowances for occasional issues or technical problems, as well as for large catastrophic events such as natural disasters.

4.7. PDP Non-Performance

It is the PDP's responsibility to ensure timely and accurate posting of validated Data so customer PBI payments can be made and production data can be provided to the NEPOOL GIS system to create Renewable Energy Certificates (RECs).

The following conditions may result in penalties, suspension of activity, or revocation of PDP approval from the Green Bank:

- Real-time Data not provided for 10% or more of active metered accounts over a one-month period
- Estimated Data posted instead of actual Data
- Product upgrades and/or changes not reported to the Green Bank within thirty (30) days
- Homeowner contract changes not reported to the Green Bank within thirty (30) days

Upon receipt of a notice from the Green Bank with respect to the PDP's failure to provide the information listed above, the PDP must, within three (3) business days:

- Perform a root-cause analysis to identify the cause of such a failure
- Provide the Green Bank with a report detailing the cause of, and procedure for correcting such failure

The PDP will be given reasonable opportunity to correct problems identified by the Green Bank. The Green Bank will work with the PDP to correct any such problems. The PDP will complete a new set of RFP Response Forms and will also include a plan for preventing future Data integrity issues.

4.1. Development and Implementation *(section added 5/6/20)*

Please provide the following information:

- Describe the development and implementation methodology used by your company.
- Describe the development and implementation timeframe for your solution.

Section 5 – Terms and Conditions of RFP Participation

5.1. Reserved Rights.

Green Bank reserves the right to reject any or all of the proposals received in response to the RFP, to waive irregularities or to cancel or modify the RFP in any way, and at any Green Bank chooses, in its sole discretion, if Green Bank determines that it is in the interest of Green Bank.

5.2. Further Reserved Rights.

Green Bank further reserves the right to make awards under this RFP without discussion of the proposals received. Proposals should be submitted on the most favorable terms from a technical, qualifications, and price standpoint. Green Bank reserves the right not to accept the lowest priced proposal.

5.3. Applicant Representations.

Proposals must be signed by an authorized officer of the Applicant. Proposals must also provide name, title, address and telephone number for individuals with authority to negotiate and contractually bind Applicant, and for those who may be contacted for the purpose of clarifying or supporting the information provided in the proposal.

5.4. Applicant's Costs.

Green Bank will not be responsible for any expenses incurred by any proposer in conjunction with the preparation or presentation of any proposal with respect to this RFP.

5.5. No Commitment.

Green Bank's selection of an Applicant through this RFP is not an offer and Green Bank reserves the right to continue negotiations with the selected Applicant until the parties reach a mutual agreement.

5.6. Professional Service Agreement.

Applicant will execute a Professional Service Agreement (PSA) as set forth in the attached Exhibit A. If the Applicant does not agree with any of the specific terms set forth in the PSA, the Applicant must set forth such terms and rationale in your response to this RFP.

GREEN BANK IS SUBJECT TO THE REQUIREMENTS OUTLINED IN SECTIONS 16-245N OF THE CONNECTICUT GENERAL STATUTES. GREEN BANK SHALL HAVE NO LIABILITY OR OBLIGATION OF ANY SORT HEREUNDER, INCLUDING, WITHOUT LIMITATION, IF FOR ANY REASON OR NO REASON A BINDING AGREEMENT IS NOT ENTERED INTO WITH ANY PROPOSER. IN MAKING ITS SELECTION OF A SUCCESSFUL BIDDER, GREEN BANK MAY CONSIDER ANY AND ALL FACTORS AND CONSIDERATIONS WHICH GREEN BANK, IN ITS SOLE DISCRETION, DEEMS RELEVANT, THE RELATIVE IMPORTANCE OF WHICH SHALL BE IN THE SOLE DISCRETION OF GREEN BANK.

SAMPLE PROFESSIONAL SERVICES AGREEMENT

This Standard Professional Services Agreement (“Agreement”) is made on **INSERT DATE** (“Effective Date”), by and between the CONNECTICUT GREEN BANK (“Green Bank”), a quasi-public agency of the State of Connecticut, having its business address at 75 Charter Oak Avenue, Suite 1-103, Hartford, CT 06106, and **INSERT NAME** (“Consultant”), having its business address at **INSERT ADDRESS**. Green Bank and Consultant together are the Parties and each individually is a Party to this Agreement.

WHEREAS, INSERT SUMMARY LANGUAGE AS NECESSARY; and

WHEREAS, INSERT SUMMARY LANGUAGE AS NECESSARY;

NOW, THEREFORE, Green Bank and Consultant, intending to be legally bound, agree as follows:

1. **Scope of Services.** Consultant shall provide Green Bank with professional consulting services (“Work”) as detailed in Consultant’s proposal in Attachment A, which is incorporated into this Agreement. **In the event of a conflict between the terms and conditions in this Agreement and the terms and conditions in the Proposal, this Agreement shall control.**

[INSTEAD OF AN ATTACHMENT, YOU MAY DROP-IN THE SCOPE OF SERVICES.]

2. **Period of Performance.** Green Bank agrees to retain Consultant, and Consultant agrees to perform the Work under this Agreement, beginning on the Effective Date and ending twelve (12) months from the Effective Date (“Period of Performance”), unless earlier terminated in accordance with Section 8 of this Agreement. The Parties can extend the Period of Performance only by a written amendment to this Agreement signed and dated by Green Bank and Consultant.

3. **Payment.** Green Bank agrees to pay Consultant for the Work performed within the Scope of Services of this Agreement, but in an amount not-to-exceed **INSERT AMOUNT** inclusive of hourly fees and any other expenses. The person(s), and their title and their hourly rate, performing the Work under this Agreement are as follows:

INSERT NAME(S) AND TITLE(S)

INSERT HOURLY RATE

THE NOT-TO-EXCEED AMOUNT OF THIS AGREEMENT CAN BE MODIFIED BY THE PARTIES ONLY BY A WRITTEN AMENDMENT SIGNED AND DATED BY GREEN BANK AND CONSULTANT PRIOR TO ANY WORK TO BE PERFORMED BY CONSULTANT WHICH WOULD RESULT IN PAYMENTS IN EXCESS OF THE NOT-TO-EXCEED AMOUNT OF THIS AGREEMENT.

4. **Invoices.** Consultant shall submit itemized monthly invoices with detailed accounting for hourly fees and expenses. **Out of pocket expenses shall be billed at cost with receipt. Expenses above \$75.00 are subject to the Green Bank’s prior written**

approval [only if applicable, otherwise remove]. All invoices shall be subject to Green Bank's approval for conformity with the terms and conditions of this Agreement. For approved invoices, Green Bank will pay Consultant within thirty (30) days of receipt by Green Bank of an invoice. Consultant agrees to include the PSA #, which can be found at the top of this Agreement, on all invoices submitted to Green Bank in connection with Work performed under this Agreement. Invoices shall be submitted to:

Connecticut Green Bank
75 Charter Oak Avenue
Suite 1-103
Hartford, CT 06106
Attn: Accounts Payable Department

UNDER NO CIRCUMSTANCES WILL GREEN BANK ACCEPT INVOICE(S) SUBMITTED BY CONSULTANT WHICH THE TOTAL AMOUNT OF THE INVOICE(S) EXCEEDS THE NOT-TO-EXCEED AMOUNT OF THIS AGREEMENT.

5. Subcontracting or Assignment. Consultant shall not subcontract, assign, or delegate any portions of the Work under this Agreement to any other person or entity not identified in Section 3, above, without prior written approval from Green Bank.

6. Independent Contractor. Consultant understands that it is acting as an independent contractor and shall not hold itself out as representing or acting in any manner on behalf of Green Bank except within the Scope of Work of this Agreement or any other active agreements between Green Bank and Consultant.

7. Disclosure of Information. Consultant agrees to disclose to Green Bank any information discovered or derived in the performance of the Work required under this Agreement. Consultant shall not disclose to others any such information, any information received or derived in performance of this Agreement, or any information relating to Green Bank without the prior written permission of Green Bank, unless such information is otherwise available in the public domain.

8. Termination. (a) This Agreement may be terminated by either Party giving ten (10) business days prior written notice to the other Party. In the event of such termination, Green Bank shall be liable only for payment in accordance with the payment provisions of the Agreement for the Work actually performed prior to the date of termination.

(b) If this Agreement is not renewed at the end of this term, or is terminated for any reason, the Contractor must provide for a reasonable, mutually agreed period of time after the expiration or termination of this Agreement, all reasonable transition assistance requested by Green Bank, to allow for the expired or terminated portion of the services to continue without interruption or adverse effect, and to facilitate the orderly transfer of such services to Green Bank or its designees. Such transition assistance will be deemed by the Parties to be governed by the terms and conditions of this Agreement, except for those terms or conditions that do not reasonably apply to such transition assistance. Green Bank will pay the Contractor for any resources utilized in performing such transition assistance at the most current rates provided by this Agreement. If there are no established contract rates,

then the rate shall be mutually agreed upon. If Green Bank terminates this Agreement for cause, then Green Bank will be entitled to offset the cost of paying the Contractor for the additional resources the Contractor utilized in providing transition assistance with any damages Green Bank may have otherwise accrued as a result of said termination.

9. Indemnification and Limitation of Liability. Consultant agrees, to the fullest extent permitted by law, to indemnify and hold harmless Green Bank, its officers, directors, and employees against all damages, liabilities, or costs, including reasonable attorneys' fees and defense costs, to the extent caused by the Consultant's negligent performance of professional services under this Agreement and that of its sub-consultants or anyone for whom the Consultant is legally liable.

Neither Party shall be liable to the other Party for indirect, incidental, punitive, special, or consequential damages arising out of this Agreement, even if the Party has been informed of the possibility of such damages, including but not limited to, loss of profits, loss of revenues, failure to realize expected savings, loss of data, loss of business opportunity, or similar losses of any kind. However, this limitation shall not apply to damages of any kind related to criminal, intentional, reckless, or grossly negligent conduct or omissions on the part of either Party.

10. Quality of Service. Consultant shall perform the Work with care, skill, and diligence in accordance with the applicable professional standards currently recognized by his/her profession, and shall be responsible for the professional quality, technical accuracy, completeness, and coordination of all work product and/or Work furnished under this Agreement. If Consultant fails to meet applicable professional standards, Consultant shall, without additional compensation, correct or revise any errors or deficiencies in any work product and/or Work furnished under this Agreement.

11. Severability. In the event that any one or more of the provisions contained in this Agreement shall be held to be invalid, illegal, or unenforceable in any respect, then such invalidity, illegality, or unenforceability shall not affect any other provisions of this Agreement, and all other provisions shall remain in full force and effect. If any provision of this Agreement is held to be excessively broad, then that provision shall be reformed and construed by limiting and reducing it to be enforceable to the maximum extent permitted by law.

12. Entire Agreement. This Agreement constitutes the entire agreement of the Parties hereto, and supersedes any previous agreement or understanding. This Agreement may not be modified or extended except in writing executed by the Parties.

13. Governing Law. The validity, interpretation, and performance of this Agreement shall be governed by the laws of the State of Connecticut. All disputes which arise in connection with, or in relation to, this Agreement or any claimed breach thereof shall be resolved, if not sooner settled, by litigation only in Connecticut or the Federal Court otherwise having subject matter jurisdiction over the dispute and not elsewhere, subject only to the authority of the Court in question to order changes of venue. To this end, Consultant waives any rights it may have to insist that litigation related to this Agreement to which Consultant is a party be had in any venue other than the above court, and

covenants not to sue Green Bank in court other than the above courts with respect to any dispute related to this Agreement.

14. Non-Discrimination.

- a. For purposes of this Section, “Contractor”, “contractor” and “Consultant” shall have the same meaning, “Contract”, “contract” and “Agreement” shall have the same meaning and other otherwise undefined terms have the meaning ascribed to them in Connecticut General Statutes § 4a-60g.
- b. Pursuant to Connecticut General Statutes § 4a-60:
 1. The Contractor agrees and warrants that in the Performance of this Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents Performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved;
 2. the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action equal opportunity employer" in accordance with regulations adopted by the Commission on Human Rights and Opportunities (the “Commission”);
 3. the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers’ representative of the Contractor's commitments under this Section and to post copies of the notice in conspicuous places available to employees and applicants for employment;

4. the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a- 68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and
 5. the Contractor agrees to provide the Commission with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56.
- c. Pursuant to Connecticut General Statutes § 4a-60a:
1. The Contractor agrees and warrants that in the performance of this Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation;
 2. the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Contract or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission advising the labor union or workers' representative of the Contractor's commitments under this Section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;
 3. the Contractor agrees to comply with each provision of this Section and with each regulation or relevant order issued by said commission pursuant to Connecticut General Statutes §46a-56; and
 4. the Contractor agrees to provide the Commission with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes §46a-56.
- d. Pursuant to subsection (c) of section 4a-60 and subsection (b) of section 4a-60a of the Connecticut General Statutes, the Contractor, for itself and its authorized signatory of this Contract, affirms that it understands the obligations of this section and that it will maintain a policy for the duration of the Contract to assure that the Contract will be performed in compliance with the nondiscrimination requirements of such sections. The Contractor and its authorized signatory of this

Contract demonstrate their understanding of this obligation by either (A) having provided an affirmative response in the required online bid or response to a proposal question which asks if the contractor understands its obligations under such sections, or (B) initialing this nondiscrimination affirmation in the following box:

15. Consulting Agreements Representation. Pursuant to section 4a-81 of the Connecticut General Statutes, the Consultant makes the representations set forth in the Consulting Agreements Representation (OPM Form 2) attached hereto.

16. Campaign Contribution Restriction and Certification. For all state contracts, defined in section 9-612 of the Connecticut General Statutes as having a value in a calendar year of \$50,000 or more, or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Agreement represents that they have received the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice. See https://seec.ct.gov/Portal/data/forms/ContrForms/seec_form_10_final.pdf. The Consultant makes the representations set forth in the Campaign Contribution Certification (OPM Form 1) attached hereto.

17. Occupational Safety and Health Act Compliance. Consultant certifies it (1) has not been cited for three or more willful or serious violations of any occupational safety and health act or of any standard, order or regulation promulgated pursuant to such act, during the three-year period preceding the date of the Agreement, provided such violations were cited in accordance with the provisions of any state occupational safety and health act or the Occupational Safety and Health Act of 1970, and not abated within the time fixed by the citation and such citation has not been set aside following appeal to the appropriate agency or court having jurisdiction or (2) has not received one or more criminal convictions related to the injury or death of any employee in the three-year period preceding the date of the Agreement.

18. Large State Contract Representation for Contractor. Pursuant to section 4-252 of the Connecticut General Statutes and Acting Governor Susan Bysiewicz Executive Order No. 21-2, promulgated July 1, 2021, the Consultant, for itself and on behalf of all of its principals or key personnel who submitted a bid or proposal, represents:

- a. That no gifts were made by (A) the Consultant, (B) any principals and key personnel of the Consultant, who participate substantially in preparing bids, proposals or negotiating State contracts, or (C) any agent of the Consultant or principals and key personnel, who participates substantially in preparing bids, proposals or negotiating State contracts, to (i) any public official or State employee of the State agency or quasi- public agency soliciting bids or proposals for State contracts, who participates substantially in the preparation of bid solicitations or requests for proposals for State contracts or the negotiation or award of State contracts, or (ii) any public official or State employee of any other

State agency, who has supervisory or appointing authority over such State agency or quasi-public agency;

- b. That no such principals and key personnel of the Consultant, or agent of the Consultant or of such principals and key personnel, knows of any action by the Consultant to circumvent such prohibition on gifts by providing for any other principals and key personnel, official, employee or agent of the Consultant to provide a gift to any such public official or State employee; and
- c. That the Consultant is submitting bids or proposals without fraud or collusion with any person.

19. Large State Contract Representation for Official or Employee of Quasi-Public Agency. Pursuant to section 4-252 of the Connecticut General Statutes and Acting Governor Susan Bysiewicz Executive Order No. 21-2, promulgated July 1, 2021, the Green Bank official or employee represents that the selection of the most qualified or highest ranked person, firm or corporation was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

20. Limitation on Recourse. All liabilities and obligations of Green Bank under this Agreement are subject and limited to the funding available under Connecticut law.

21. Non-impairment and Assessment. As a further inducement for the Consultant to enter into this Agreement, subsection (h) of section 16-245n of the Conn. General Statutes is incorporated into this Agreement.

22. Freedom of Information Act. Green Bank is a “public agency” for purposes of the Connecticut Freedom of Information Act (“FOIA”). This Agreement and information received pursuant to this Agreement will be considered public records and will be subject to disclosure under the FOIA, except for information falling within one of the exemptions in Conn. Gen. Stat. Sections § 1-210(b) and § 16-245n(d).

Because only the particular information falling within one of these exemptions can be withheld by Green Bank pursuant to an FOIA request, Consultant should specifically and in writing identify to Green Bank the information that Consultant claims to be exempt. Consultant should further provide a statement stating the basis for each claim of exemption. It will not be sufficient to state generally that the information is proprietary or confidential in nature and not, therefore, subject to release to third parties. A convincing explanation and rationale sufficient to justify each exemption consistent with General Statutes §1-210(b) and § 16-245n(d) must be provided.

Consultant acknowledges that (1) Green Bank has no obligation to notify Consultant of any FOIA request it receives, (2) Green Bank may disclose materials claimed by Consultant to be exempt if in its judgment such materials do not appear to fall within a statutory exemption, (3) Green Bank may in its discretion notify Consultant of FOIA requests and/or of complaints made to the Freedom of Information Commission concerning items for which an exemption has been claimed, but Green Bank has no obligation to initiate, prosecute, or defend any legal proceeding, or to seek to secure any

protective order or other relief to prevent disclosure of any information pursuant to an FOIA request, (4) Consultant will have the burden of establishing the availability of any FOIA exemption in any such legal proceeding, and (5) in no event shall Green Bank or any of its officers, directors, or employees have any liability for the disclosure of documents or information in Green Bank's possession where Green Bank, or such officer, director, or employee, in good faith believes the disclosure to be required under the FOIA or other law.

23. Execution and Facsimile. This Agreement may be executed in any number of counterparts (including those delivered by facsimile or other electronic means), and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, shall together constitute but one and the same agreement.

IN WITNESS WHEREOF, the Parties have executed this Agreement as of the day and year first above written.

CONNECTICUT GREEN BANK

By: _____
Bryan T. Garcia, President and CEO

CONSULTANT

By: _____
INSERT NAME
INSERT TITLE



**STATE OF CONNECTICUT
CONSULTING AGREEMENT REPRESENTATION**

Representation to accompany a purchase of service contract with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b).

INSTRUCTIONS:

Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. **If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1):** Mark the fields below with "Not Applicable (N/A)". Sign and date the form on the second page in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency at the time of contract execution.

Pursuant to section 4a-81 of the Connecticut General Statutes, the Contractor represents that it has not entered into any consulting agreements in connection with this Contract, except for the agreements listed below. "Consulting agreement" means any written or oral agreement to retain the services, for a fee, of a consultant for the purposes of (A) providing counsel to a contractor, vendor, consultant or other entity seeking to conduct, or conducting, business with the State, (B) contacting, whether in writing or orally, any executive, judicial, or administrative office of the State, including any department, institution, bureau, board, commission, authority, official or employee for the purpose of solicitation, dispute resolution, introduction, requests for information, or (C) any other similar activity related to such contracts. "Consulting agreement" does not include any agreements entered into with a consultant who is registered under the provisions of chapter 10 of the Connecticut General Statutes as of the date such contract is executed in accordance with the provisions of section 4a-81 of the Connecticut General Statutes.

_____ Name of Firm (if applicable)
 _____ Consultant's Name and Title

_____ Start Date _____ End Date _____ Cost

The basic terms of the consulting agreement are: _____

Description of Services Provided: _____

Is the consultant a former State employee or former public official? YES NO

If YES: _____
 Name of Former State Agency Termination Date of Employment

SIGNATURE AND NOTARIZATION ON NEXT PAGE

Contractor

Contractor Name: _____

Name of Signatory (print): _____

Title of Signatory: _____

The undersigned, being the person signing the Contract, swears that the representation in the Consulting Agreements Representation provision in this Contract is true to the best of my knowledge and belief, and is subject to the penalties of false statement.

Signature

Sworn and subscribed before me on this _____ day of _____, 20____.

Commissioner of the Superior Court
or Notary Public

My Commission Expires



STATE OF CONNECTICUT CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a bid or proposal or a non-competitive contract with a value of \$50,000 or more, pursuant to C.G.S. § 9-612.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of submission of your bid or proposal (if no bid or proposal– submit this completed form with the earliest submittal of any document to the state or quasi-public agency prior to the execution of the contract), and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier.

Check One:

- Initial Certification**
- Updated Certification because of change of information contained in the most recently filed certification**

CAMPAIGN CONTRIBUTION CERTIFICATION:

I certify that neither the contractor or prospective state contractor, nor any of its principals, have made any contributions to, or solicited any contributions on behalf of, any party committee, exploratory committee, candidate for state-wide office or for the General Assembly, or political committee authorized to make contributions to or expenditures to or for, the benefit of such candidates, in the previous four years, that were determined by the State Elections Enforcement Commission to be in violation of subparagraph (A) or (B) of subdivision (2) of subsection (f) of Section 9-612 of the General Statutes, without mitigating circumstances having been found to exist concerning such violation. Each such certification shall be sworn as true to the best knowledge and belief of the person signing the certification, subject to the penalties of false statement. If there is any change in the information contained in the most recently filed certification, such person shall submit an updated certification not later than thirty days after the effective date of any such change or upon the submittal of any new bid or proposal for a state contract, whichever is earlier.

All Campaign Contributions on behalf of any party committee, exploratory committee, candidate for state-wide office or for the General Assembly, or political committee authorized to make contributions to or expenditures to or for, the benefit of such candidate, for a period of four years prior to signing the contract or date of the response to the bid, whichever is longer, include:

